

File 348:EUROPEAN PATENTS 1978-2004/Aug W02  
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File 349:PCT FULLTEXT 1979-2002/UB=20040812,UT=20040805  
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File 371:French Patents 1961-2002/BOPI 200209  
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File 331:Derwent WPI First View UD=200452  
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Set	Items	Description
S1	3	(DONAT? OR DONOR? OR GIVING OR CONTRIBUT? OR GIVE OR GIVES OR GIVING) (5N) (APPRECIATED() (ASSET OR ASSETS OR STOCK OR STOCKS OR SHARE OR SHARES OR BOND OR BONDS OR GIFT OR GIFTS OR SECURITY OR SECURITIES))
S2	1	(TRANSFER? OR DOWNLOAD? OR DISPLAY? OR UPLOAD? OR SENT OR - SEND OR SENDS OR SENDING OR TRANSMISS? OR TRANSMIT? OR DISTRIBUT?) (5N) (APPRECIATED() (ASSET? ? OR STOCK? ? OR SHARE? ? OR BOND? ? OR GIFT? ? OR SECURITY OR SECURITIES))
S3	2	(S1 OR S2) (5N) (INTERNET OR ONLINE OR ON()LINE OR ELECTRONIC? OR COMPUTERI?)
S4	36301	CHARITY OR CHARITIES OR CHARITABLE? OR NON()PROFIT? OR FOUNDATION OR FOUNDATIONS
S5	12	(CALCULAT? OR ESTIMAT? OR RECORDING? OR IDENTIF?) (5N) (TAX - OR TAXABLE) () (SAVING? OR RECEIPT? OR DEDUCTION?)
S6	100	DONOR? (5N) (ACCOUNT OR ACCOUNTS)
S7	2	ASSETSTREAM?
S8	1104	AU=(JOHNSON, D? OR JOHNSON D? OR STEWARD, D? OR STEWARD D?)
S9	21	(APPRECIATED() (ASSET OR ASSETS OR STOCK OR STOCKS OR SHARE OR SHARES OR BOND OR BONDS OR GIFT OR GIFTS OR SECURITY OR SECURITIES))
S10	1	S9(S)S4
S11	2	S9(S) (S5 OR S6)
S12	1	S11 NOT S10
S13	4	S9(S) (INTERNET OR ONLINE OR ON()LINE OR ELECTRONIC? OR COMPUTERI?)
S14	2	S13(S) (S10 OR S12)
S15	2	S7 AND IC=G06F
S16	0	(S1 OR S2) (S)S8

3/3,K/1 (Item 1 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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00853832

**SYSTEMS AND METHODS FOR CHARITABLE DONATING**  
**SYSTEMES ET PROCEDES PERMETTANT DE FAIRE DES DONs CARITATIFS**

Patent Applicant/Assignee:

CLASSROOM GIFTS INC, 1012 38th Street, Sacramento, CA 95816, US, US  
(Residence), US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

MULL George, 3320 M Street, Sacramento, CA 95816, US, US (Residence), US  
(Nationality), (Designated only for: US)

Legal Representative:

ALBERT G Peter Jr (agent), Lyon & Lyon LLP, 633 West Fifth Street, Suite  
4700, Los Angeles, CA 90071, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200186564 A2 20011115 (WO 0186564)

Application: WO 2001US15222 20010510 (PCT/WO US0115222)

Priority Application: US 2000203003 20000510

Designated States:

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(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

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Publication Language: English

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Fulltext Word Count: 5642

Fulltext Availability:

Detailed Description

Detailed Description

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The site can also be configured to facilitate the **donation** of  
**appreciated securities** . With the coordination of **on - line** brokerage  
services, for example, securities can be donated to a given account,  
sold, and the...

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00820423 \*\*Image available\*\*

**SYSTEM AND METHOD FOR GIVING APPRECIATED ASSETS**  
**SYSTEME ET PROCEDE DE DONATION D'ACTIFS A PLUS-VALUE**

Patent Applicant/Assignee:

ASSETSTREAM CORPORATION, 400 Unicorn Park Drive, Woburn, MA 01801, US, US  
(Residence), US (Nationality)

Inventor(s):

JOHNSON Donald Edward, 43 Barnard Road #2, Belmont, MA 02478, US,  
STEWART Duane Allen, 27 Pondview Road #1, Arlington, MA 02474, US,

Legal Representative:

WAKIMURA Mary Lou (et al) (agent), Hamilton, Brook, Smith & Reynolds,  
P.C., Two Militia Drive, Lexington, MA 02421, US,

Patent and Priority Information (Country, Number, Date):

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Detailed Description

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... means provide. The following benefits are provided by the accelerated  
gift giving system. "Click'n Give " donations of appreciated assets  
is

To give an asset online , the donor selects the asset while viewing  
his

provi I 1 6:)

investment portfolio, or...

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... the beginning of each regular issue of the PCT Gazet

**SYSTEM AND METHOD FOR GIVING APPRECIATED ASSETS**

**BACKGROUND OF THE INVENTION**

Considering that individuals gave \$135 billion in 1998 to **charity**, \$100 billion of which was contributed in the form of cash, a tremendous potential exists...

...has intentionally left a tax "loophole" available for donors for the purpose of stimulating greater **charitable** giving, and yet very few donors are taking advantage of this potential tax deduction. With only 3% of Americans currently taking partial (but

In

certainly not full) advantage of giving **appreciated assets**, there exists the possibility that many times that number could be giving assets rather than...

...contribute to charity each year, only 3% of the American public have ever contributed via **appreciated assets**. Given that almost three quarters of all Americans now participate in the stock market, this represents a huge inefficiency in the way people are choosing to give to

their favorite **charities** . Even the wealthy, while doing better than average in terms of giving efficiency, are far from optimizing their **charitable** giving. Those with incomes in excess of \$1 million still give 44% of their annual contributions in the form of cash rather than **appreciated assets** . Studies of the asset allocations for such hi cyh net-worth indiv" duals suggest that...

...typically be able to make

4=@

over 90% of their gifts in @@he form of **appreciated assets** .

Exact estimates of the total number of households which could be making part or all of their charitable giving in the form of **appreciated assets** are elusive.

However, an examination of IRS individual tax return information suggests that an estimated...the potential to make part or all of their charitable gifts in the form of **appreciated assets** . Even those households with adjusted gross incomes between \$25,000 and \$50,000 have investment assets (not including personal residences or retirement plan assets) which might be used for **charitable** giving-\$67,000 on average.

Because of the currently practiced cumbersome procedure involved in gifting...

...donors who are giving \$1,000-\$3,000 per year to charity make gifts of **appreciated assets** , even though Table I suggests that many of these individuals have assets they could be...

...More broadly, most donors giving between \$1,000 and \$ 1 0,000 per year to **charity** give cash rather than assets. A Donor Advised Fund ("DAO"), like Fidelity's **Charitable** Gift Fund, targets only donors giving over \$ 1 0,000 per year. However, Table 1...

...the \$1,000-\$ 1 0,000 range, representing an estimated \$52 billion in gifts to **charity** each year.

Although only a small fraction of individuals who could be giving to charity via **appreciated assets** are currently doing so, this form of giving is being heavily promoted. The first and perhaps clearest evidence of this is a review of the literature most **charities** send to their donors. The great majority of these **charities** make the effort to point out to their donors that the **charity** is able to receive **appreciated assets** in lieu of cash. While the **charities** are doing a good alerting donors to the of this type of gift (and in...

...of providing further instructions is to require the donor to call a representative at the **charity** .

Donor Advised Organizations (DAOs) provide the next level of service for the charitably inclined by...

...minimum contributions, but for more generous donors, the DAOs are a crood solution for giving **appreciated assets** . The donor may make one large contribution in the forin of **appreciated assets** to the DAO, which the DAO would then sell. The donor would then request that the DAO send "grants" to the donor's favorite **charities** from the proceeds of this sale.

The use of DAOs is quickly catching on as...in additional donors.

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Detailed Description

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... is fired, dies, etc.

The site can also be configured to facilitate the donation of  
**appreciated securities** . With the coordination of on-line brokerage  
services, for example, securities can be donated to a given account,  
sold, and the proceeds directed to the **account** . Further, the **donor**  
can also choose to direct dividends or interest from particular holdings  
to a particular account...

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00820423 \*\*Image available\*\*

**SYSTEM AND METHOD FOR GIVING APPRECIATED ASSETS**

**SYSTEME ET PROCEDE DE DONATION D'ACTIFS A PLUS-VALUE**

Patent Applicant/Assignee:

ASSETSTREAM CORPORATION, 400 Unicorn Park Drive, Woburn, MA 01801, US, US  
(Residence), US (Nationality)

Inventor(s):

JOHNSON Donald Edward, 43 Barnard Road #2, Belmont, MA 02478, US,

STEWARD Duane Allen, 27 Pondview Road #1, Arlington, MA 02474, US,  
Legal Representative:

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P.C., Two Militia Drive, Lexington, MA 02421, US,

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Patent: WO 200153979 A2 20010726 (WO 0153979)

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Priority Application: US 2000177722 20000121

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... by offering the ability to lock in the dollar value a charity will  
receive when **appreciated assets** are contributed, whether the asset is  
immediately delivered to **charity** or not. The multitude of ways of  
selling a stock can be applied to tho...the means for any donor with an  
account at any financial services firm to give **appreciated assets** to  
any **charity**, all with "click and give" ease. Where integration with  
such a firm does not facilitate...following benefits are provided by the  
accelerated gift giving system. "Click'n Give" donations of **appreciated  
assets** is

To give an asset **online**, the donor selects the asset while viewing his  
provi I 1 6:)  
investment portfolio, or...

...be given by some other means, and the asset is quickly transferred to a  
recipient **charity** or other authorized account and converted into cash,  
which can then be sent to other **charities** of the donor's choosing. The  
processing of paperwork (faxes or mail) traditionally required to  
initiate an asset transfer from donor to **charity** may be removed in most  
cases.

Transfer requests will be electronically submitted by the donor...5  
appreciated assets.

Fig. 3 is a diagram showing a process for the donation of **appreciated  
assets** as configured according to an embodiment of the present  
invention. An investor requests that his...

...according to the previously specified investor's wishes. The investor  
makes a transfer of an **appreciated asset** from his investment account  
to a donor advised organization 104. This is done **electronically** by  
compiling donor assets, analyzing the assets and selecting specific  
assets to instruct the DAO 104 to transfer funds to. The DAO 104 then  
send checks out to the various **charities** on the donor's behalf The



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**SYSTEM AND METHOD FOR GIVING APPRECIATED ASSETS**  
**SYSTEM UND VERFAHREN ZUM STEUERREDUZIERENDEN SPENDEN**  
**SYSTEME ET PROCEDE DE DONATION D'ACTIFS A PLUS-VALUE**  
PATENT ASSIGNEE:

**Assetstream** Corporation, (3397890), 400 Unicorn Park Drive, Woburn, MA  
01801, (US), (Applicant designated States: all

INVENTOR:

JOHNSON, Donald, Edward, 43 Barnard Road 2, Belmont, MA 02478, (US)  
STEWART, Duane, Allen, 27 Pondview Road 1, Arlington, MA 02474, (US)

PATENT (CC, No, Kind, Date):

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PRIORITY (CC, No, Date): US 177722 P 000121

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LU; MC; NL; PT; SE; TR

EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI

INTERNATIONAL PATENT CLASS: G06F-017/00

NOTE:

**Assetstream** Corporation, (3397890), 400 Unicorn Park Drive, Woburn, MA  
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LANGUAGE (Publication,Procedural,Application): English; English; English

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